2162 BT 03-18-02

PTO/SB/21 (08-00) Approved for use through 10/31/02. OMB 0651-0031

Under the Paperwork		no persons ar	U.S. Pate e required to respond to a collect	ent and Trademark	k Office; U.S. DEPARTMENT OF COMMERCE unless it displays a valid OMB control number.			
			Applicati n Numb r	09/867,181				
TRANSMITTAL			Filing Date	May 29, 2001	<b>%</b>			
FORM			First Named Inventor	JONES	> A/ C			
			Group Art Unit	2162	Chn G 3			
(to be used for all corre	espondence after initia	al filing)	Examiner Name	Not Assigned	10 / P			
Total Number Of Pages In T	his Submission		Attorney Docket No.	513612000100	Conjer of			
	First Named Inventor JONES  Group Art Unit 2162  (to be used for all correspondence after initial filing)  Examiner Name Not Assigned  Total Number Of Pages In This Submission Attorney Docket No. 513612000100  ENCLOSURES (check all that apply)							
Fee Transmittal Fo		Ass	signment Papers an Application)		After Allowance Communication to Group			
· Fee Attache	ed		wing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	/	Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Pet	ition		Proprietary Information			
Affidavits/de	eclarations		ition to Convert to a visional Application		Status Letter			
Extension of Time	Request		wer of Attorney, Revocation ange of Correspondence Ado	dress	Other Enclosure(s) (please identify below):			
Express Abandon	ment Request		minal Disclaimer					
Information Disclo	sure Statement	CD	, Number of CD(s)					
Certified Copy of I Document(s)	Priority	Remarks						
Response to Miss Incomplete Applic								
Response to	o Missing Parts FR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT								
Firm or Individual Name	David T. Yang Morrison & Foerster L 555 West Fifth Street, Los Angeles, CA 900	LP Suite 3500						
Signature	£							
Date	August 23, 2001							
I haraby cartify that this as			OF MAILING BY "FIRST CI		irst class mail in an envelone addressed			

to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 23, 2001.

David F. Yang

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PATENT Docket No. 513612000100

TECHNOON CENTER TO THE CEINED TO THE CEINED

#### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 23, 2001.

David F. Yang

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Dana Howard JONES

Serial No.:

09/867,181

Filing Date:

May 29, 2001

For:

METHOD AND SYSTEM FOR

PAYMENT OF INTELLECTUAL PROPERTY ROYALTIES BY

INTERPOSED SPONSOR ON BEHALF

OF CONSUMER OVER A

TELECOMMUNICATIONS NETWORK

Examiner: Unknown

Group Art Unit: 2162

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

la-511062

With the application; accordingly, no fee or separate requirements are required. Within three months of the application filing date or before mailing of a first Office Action X on the merits; accordingly, no fee or separate requirements are required. After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of \* is enclosed. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this

document to <u>Deposit Account No. 03-1952</u> referencing <u>513612000100</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 23, 2001

Respectfully submitted,

David T. Yang

Registration No. 44,415

Morrison & Foerster LLP 555 West Fifth Street Suite 3500

Los Angeles, California 90013-1024

Telephone: (213) 892-5784 Facsimile: (213) 892-5454 AU6 2 8 2001 8

## Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 513612000100	Application Number 09/867,181			
Applicant				
Dana	Howard Jones			
Filing Date May 29, 2001	Group Art Unit 2162			
Mailing Date August 23, 2001				

### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/29/98	5,855,008	A. Nathaniel Goldhaber et al.			
	2.	01/02/01	6,169,542	Darryl C. Hooks et al.			
	3.	07/04/00	6,084,628	Francois Sawyer			
	4.	11/17/98	5,838,314	Douglas E. Neel et al.			
	5.	08/14/01	6,275,854	Maria Azua Himmel et al.			
	6.	04/10/01	6,216,129	Charles A. Eldering			
	7.	04/10/01	6,216,112	William H. Fuller et al.			
	8.	01/30/01	6,182,050	Clinton L. Ballard	-		
	9.	01/23/01	6,178,446	Irwin Gerszberg et al.			
	10.	12/12/00	6,161,142	Robert L. Wolfe et al.			
	11.	12/12/00	6,161,127	Robert M. Cezar et al.			
	12.	10/31/00	6,141,010	Martin David Hoyle			
	13.	10/03/00	6,128,651	Robert M. Cezar			
	14.	09/12/00	6,119,098	Patrice D. Guyot et al.			
	15.	08/15/00	6,102,406	Steven A. Miles et al.			
<del></del>	16.	08/30/98	5,774,870	Thomas Storey			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
				•			

### OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

**EXAMINER:** (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.